04/09/04

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This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 03/24/2004 026304 7590 KATTEN MUCHIN ZAVIS ROSENMAN Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile 575 MADISON AVENUE NEW YORK, NY 10022-2585 transmitted to the USPTO, on the date indicated below. (Depositor's name Customer Number: 026304 (Signatur (Date) FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO FILING DATE APPLICATION NO. FBR 20.478 Andreas Stratigos 3200 07/02/2003 10/612,390 TITLE OF INVENTION: ALUMINIUM PROCESSING APPARATUS AND PROCESS FOR SEPARATING ALUMINIUM FROM A MIXTURE OF ALUMINIUM AND ALUMINIUM DROSS PUBLICATION FEE TOTAL FEE(S) DUE DATE DUE ISSUE FEE SMALL ENTITY APPLN. TYPE \$300 \$1630 06/24/2004 NO \$1330 nonprovisional CLASS-SUBCLASS ART UNIT EXAMINER 075-375000 1742 ANDREWS, MELVYN J 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or Katten Muchin agents OR, alternatively, (2) the name of a single ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. Zavis Rosenman firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE Labuan, Malaysia Mawar Malaysian Limited Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☐ corporation or other private group entity 4b. Payment of Fee(s): 4a. The following fee(s) are enclosed: ☐ A check in the amount of the fee(s) is enclosed. Issue Fee ☐ Payment by credit card. Form PTO-2038 is attached. 10 O The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 501290 (enclose an extra copy of this form). Advance Order - # of Copies Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. (Date) (Authorized Signature) NOTE; The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. ANY FEE DUE WITH THIS PAPER, NOT FULLY COVERED BY AN ENCLOSED CHECK, MAY 38 This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. CHARGED ON DEPOSIT ACCOUNT NO. 801200 04/13/2004 FMETEKI2 00000172 501290 10612390 1330.00 DA 01 FC:1501 300.00 DA 02 FC:1504 SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450. 30.00 DA 03 FC:8001 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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Date: April 08, 2004

#### **IN ACCOUNT WITH**

# KMZRosenman

Taxpayer ID: 36-2796532 KATTEN MUCHIN ZAVIS ROSENMAN

575 MADISON AVENUE, NEW YORK, NY 10022-2585

Re: U.S. Patent Application No.: 10/612,390

ALUMINUM PROCESSING APPARATUS AND PROCESS...

Our Ref.: FBR 20.478 (031035-00059)

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Preparation and Filing of Notice of Allowance and Payment Of Issue Fee	\$340.00
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### NOTICE OF ALLOWANCE AND FEE(S) DUE

EXAMINER 03/24/2004 KATTEN MUCHIN ZAVIS ROSENMAN ANDREWS, MELVYN J 575 MADISON AVENUE ART UNIT PAPER NUMBER NEW YORK, NY 10022-2585 1742 DATE MAILED: 03/24/2004 MAR 29 2004 FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. APPLICATION NO. FILING DATE Andreas Stratigos FBR 20.478 3200 07/02/2003 10/612,390

TITLE OF INVENTION: ALUMINIUM PROCESSING APPARATUS AND PROCESS FOR SEPARATING ALUMINIUM FROM A MIXTURE OF ALUMINIUM AND ALUMINIUM DROSS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	06/24/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

☐ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.